



TRADE CONFIRMATION/ PROXY WAIVER AUTHORIZATION FORM

(For Masters Investment Consulting Program and Network Investment Consulting Program Fee-Based Accounts)

TO BE COMPLETED BY CLIENT(S)

Trade Confirmations

pk (Client initials here) I hereby authorize First Union Securities, Inc. to discontinue sending trade by trade confirmations to me for my Masters or Network fee-based managed account(s) as indicated below. I understand that I will continue to receive monthly statements that will provide information relating to all transactions in my account and that my investment advisor(s) will continue to receive trade by trade confirmations.

Proxy

pk (Client initials here) I certify that I have given investment discretion to the investment advisor named below pursuant to a written contract. I hereby delegate all proxy voting rights to my investment advisor(s) and designate the advisor(s) to receive all proxies including proxy solicitation material and other related material including interim reports, annual reports and any other issuer mailings.

Signature(s) and Authorization

I certify that the above elections are voluntary and not a mandatory condition to continuing my investment consulting account(s). I understand I may request, at no additional cost to me, transaction confirmations or proxy materials effected during this waiver period. I also understand I can rescind this request for a waiver at any time and I will be able to receive future transaction confirmations or proxy materials on a regular basis at no additional.

Account Number	Investment Advisor	
	Rocky Emery	
Account Number	Investment Advisor	
Account Number	Investment Advisor	
Client Name (please print)	Client Signature	Date
Richard A. Casey	<u>Richard A. Casey</u>	10/7/01
Client Name (please print) if multiple owners, all owners must sign.	Client Signature	Date
Elizabeth Casey	<u>Elizabeth Casey</u>	10/7/01

TO BE COMPLETED BY FINANCIAL ADVISOR

Financial Advisor Signature	Date	Branch Manager Signature	Date
<u>Rocky Emery</u>	10/9/01	<u>Cyndi Cook</u>	10/16/01

Submit Form to:

First Union Securities/Consulting Services Group/ Richmond WF2115 - Attn: CSG Service Center.

GOVERNMENT
EXHIBIT

9203

Crim No. H-04-0025

First Union Securities is the trade name under which First Union Corporation provides brokerage services through two registered broker/dealers: First Union Securities, Inc., member NYSE/SIPC, and First Union Securities Financial Network, Inc., member NASD/SIPC. Each broker/dealer is a separate, non-bank affiliate of First Union Corporation. Accounts carried by First Clearing Corporation, member NYSE/SIPC.

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CONFIDENTIAL TREATMENT
REQUEST BY K & L

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